



684 1627

PATENT

Attorney Docket No. 13839-00013

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: McCafferty *et al.*

Appln. No.: 09/726,219

Filed: November 28, 2000

For: Methods of Producing Members  
of Specific Binding Pairs

Group Art Unit: 1627

Examiner: P. Ponnaluri

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

3/15/02  
DateDavid W. Clough, Ph.D.  
Registration No. 36,107  
Attorney for Applicant

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TRANSMITTAL OF SEQUENCE LISTING  
PURSUANT TO 37 C.F.R. § 1.821-1.825

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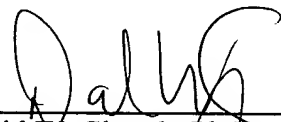
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Communication from the Examiner of March 4, 2002, we are enclosing herewith a copy of the Response to the Notice to Comply which was transmitted to the U.S. Patent & Trademark Office on February 19, 2002. We are also enclosing a copy of the stamped returned postcard.

Respectfully submitted,

By:

  
David W. Clough, Ph.D.  
Registration No. 36,107  
Attorney for Applicants

March 15, 2002  
KATTEN MUCHIN ZAVIS  
525 West Monroe Street, Suite 1600  
Chicago, Illinois 60661-3693  
Telephone: 312/902-5464  
Fax: 312/577-8736

Application N .: 09/726,219

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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☐ Provisional Application for Patent Cover Sheet (\_\_\_\_ pp)  
☐ RCE / CPA Transmittal (\_\_\_\_ pp)  
☐ Specification (including claims & abstract) (\_\_\_\_ pp)  
☐ Drawings \_\_\_\_ Formal \_\_\_\_ Informal (\_\_\_\_ pp)  
☐ Combined Oath / Declaration & Power of Attorney (\_\_\_\_ pp)  
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with Recordation Form Cover Sheet - Patents (\_\_\_\_ pp)  
☐ Fee Transmittal, in duplicate (\_\_\_\_ pp, total)  
☐ Claim for priority and certified copies of \_\_\_\_\_ priority applications
- ☒ Transmittal Form (\_\_\_\_ pp)  
☐ Response to Official Action / Amendment (\_\_\_\_ pp)  
(circle one -- A / B / C / Preliminary / After Final / 312 )  
☒ Petition for \_\_\_\_ -Month Extension of Time (\_\_\_\_ pp)  
☐ Information Disclosure Statement (\_\_\_\_ pp)  
☐ Form PTO/SB/08A (\_\_\_\_ pp)  
☐ Cited References (\_\_\_\_ references)  
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☒ Other Notice to Comply; Statement Pursuant to 37 CFR 1.821(g);  
Diskette
- ☐ Request for Deferral of Examination (\_\_\_\_ pp)  
☒ Response to Missing Parts /  
Incomplete Application (\_\_\_\_ pp)  
☐ Non-Publication Request  
☐ Affidavit(s)/Declaration(s) (\_\_\_\_ pp)  
☐ Letter Transmitting Corrected Drawings/  
Refer to the Official Draftsperson (\_\_\_\_ pp)  
☐ Request for Approval of Dwg. Amd's. (\_\_\_\_ pp)  
☐ Notice of Appeal (\_\_\_\_ pp)  
☐ Appeal Brief, in triplicate (\_\_\_\_ pp, total)  
☐ Revocation/Power of Attorney (\_\_\_\_ pp)  
☐ Certificate Under 37 CFR 3.73(b) (\_\_\_\_ pp)  
☐ Associate Power of Attorney (\_\_\_\_ pp)  
☐ Status Inquiry (\_\_\_\_ pp)  
☐ Petition \_\_\_\_\_ (\_\_\_\_ pp)  
☐ Terminal Disclaimer (\_\_\_\_ pp)  
☐ Request for Certificate of Correction (\_\_\_\_ pp)  
☐ Form PTO-1050 (2 copies, \_\_\_\_ pp, total)  
☐ Maintenance Fee Transmittal (\_\_\_\_ pp)  
☐ "Fee Address" Indication Form (\_\_\_\_ pp)



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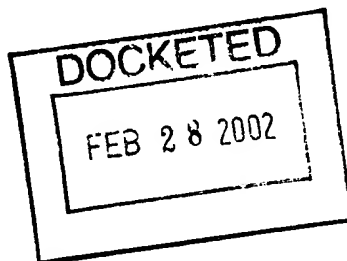
MAR 27 2002

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Applicant(s): McCafferty et al Ser/Pat No.: 09/726,219 Filing/Issue Date: 11-28-00  
Docket No.: 13839-00013 Attorney: DWC/ITCS Due Date: 3-7-02  
Title: Methods of Producing Members of Specific Binding Pairs  
Date Sent: 2-19-02 ☒ 1<sup>st</sup>-Class Mail ☐ U.S. P.O. Express Mail (No. \_\_\_\_\_) ☐ Hand Carried

The U.S. Patent and Trademark Office date stamped hereon is acknowledgement that the items, checked below, were received by the U.S. Patent and Trademark Office on the date stamped:

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| <input type="checkbox"/> Provisional Application for Patent Cover Sheet (____ pp)                         | <input type="checkbox"/> Response to Missing Parts / Incomplete Application (____ pp)                          |
| <input type="checkbox"/> RCE / CPA Transmittal (____ pp)  | <input type="checkbox"/> Non-Publication Request   |
| <input type="checkbox"/> Specification (including claims & abstract) (____ pp)                            | <input type="checkbox"/> Affidavit(s)/Declaration(s) (____ pp)   |
| <input type="checkbox"/> Drawings _____ Formal _____ Informal (____ pp)                                   | <input type="checkbox"/> Letter Transmitting Corrected Drawings/ Letter to the Official Draftsperson (____ pp) |
| <input type="checkbox"/> Combined Oath / Declaration & Power of Attorney (____ pp)                        | <input type="checkbox"/> Request for Approval of Dwg. Amd's. (____ pp)   |
| <input type="checkbox"/> Assignment or _____ with Recordation Form Cover Sheet - Patents (____ pp)        | <input type="checkbox"/> Notice of Appeal (____ pp)  |
| <input type="checkbox"/> Fee Transmittal, in duplicate (____ pp, total)                                   | <input type="checkbox"/> Appeal Brief, in triplicate (____ pp, total)  |
| <input type="checkbox"/> Claim for priority and certified copies of _____ priority applications           | <input type="checkbox"/> Revocation/Power of Attorney (____ pp)  |
| <input checked="" type="checkbox"/> Transmittal Form (____ pp)  | <input type="checkbox"/> Certificate Under 37 CFR 3.73(b) (____ pp)  |
| <input type="checkbox"/> Response to Official Action / Amendment (____ pp)                                | <input type="checkbox"/> Associate Power of Attorney (____ pp)   |
| (circle one -- A / B / C / Preliminary / After Final / 312)   | <input type="checkbox"/> Status Inquiry (____ pp)  |
| <input checked="" type="checkbox"/> Petition for <u>5</u> -Month Extension of Time (____ pp) <u>trip</u>  | <input type="checkbox"/> Petition _____ (____ pp)  |
| <input type="checkbox"/> Information Disclosure Statement (____ pp)                                       | <input type="checkbox"/> Terminal Disclaimer (____ pp)   |
| <input type="checkbox"/> Form PTO/SB/08A (____ pp)  | <input type="checkbox"/> Request for Certificate of Correction (____ pp)                                       |
| <input type="checkbox"/> Cited References (____ references)   | <input type="checkbox"/> Form PTO-1050 (2 copies, _____ pp, total)   |
| <input type="checkbox"/> Issue Fee Transmittal (____ pp)  | <input type="checkbox"/> Maintenance Fee Transmittal (____ pp)   |
| <input checked="" type="checkbox"/> Check / Authorization to charge Deposit Account (\$ <u>1960</u> )     | <input type="checkbox"/> "Fee Address" Indication Form (____ pp)   |
| <input checked="" type="checkbox"/> Other <u>Notice to Comply; Statement Pursuant to 37 CFR 1.821(g);</u> |  |
| <u>Diskette</u>   |  |





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: McCafferty *et al.*

Appln. No.: 09/726,219

Filed: November 28, 2000

For: Methods of Producing Members  
of Specific Binding Pairs

Group Art Unit: 1627

Examiner: P. Ponnaluri

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

2/19/02  
Date

David W. Clough, Ph.D.  
Registration No. 36,107  
Attorney for Applicant

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TRANSMITTAL OF SEQUENCE LISTING  
PURSUANT TO 37 C.F.R. § 1.821-1.825

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the Examiner's Notice to Comply with the Requirements for Patent Applications Including Nucleotide Sequences and/or Amino Acid Sequence Disclosures, mailed September 7, 2001, Applicants submit herewith the following:

1. A paper copy of the Sequence Listing in computer readable format;
2. Copy of Notice to Comply;
3. A diskette containing the Sequence Listing in ASCII format;
4. A Statement Verifying that the paper copy of the Sequence Listing and the computer readable copy are the same in accordance with 37 C.F.R. §§1.821-1.825; and.
5. Extension of time within the fifth month with fee of \$1960.

Respectfully submitted,

By:

David W. Clough, Ph.D.  
Registration No. 36,107  
Attorney for Applicants

February 19, 2002  
KATTEN MUCHIN ZAVIS  
525 West Monroe Street, Suite 1600  
Chicago, Illinois 60661-3693  
Telephone: 312/902-5464  
Fax: 312/577-8736



PATENT  
Attorney Docket No. 13839-00013

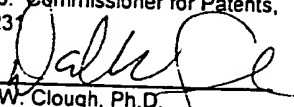
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STATEMENT PURSUANT TO 37 C.F.R. § 1.821(g)

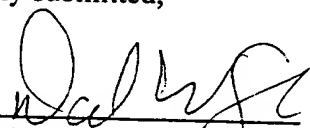
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby state that the content of the paper copy of the Sequence Listing, and the computer readable copy of the Sequence Listing, submitted herewith in accordance with 37 C.F.R. §§1.821-1.825, are the same and include no new matter.

Respectfully submitted,

By:

  
David W. Clough, Ph.D.  
Registration No. 36,107  
Attorney for Applicants

February 19, 2002  
KATTEN MUCHIN ZAVIS  
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